

10/530617
CASE PN/4-32716A

FILING BY "EXPRESS MAIL" UNDER 35 USC 111
Express Mail Label Number
Date of Deposit

PCT/PTO 07 APR 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PCT NATIONAL STAGE APPLICATION OF

MATHES ET AL.

INTERNATIONAL APPLICATION NO: PCT/EP03/11034

FILED: 6 OCTOBER 2005

U.S. APPLICATION NO: Not Yet Assigned

35 USC §371 DATE:

FOR: ENANTIOSELECTIVE PROCESS FOR THE PREPARATION OF BOTH
ENANTIOMERS OF 10, 11-DIHYDRO-10-HYDROXY-5H-
DIBENZ[B,F]AZEPINE-5-CARBOXAMIDE AND NEW CRYSTAL FORMS
THEREOF

MS: Amendment

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This paper is being filed within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 of the international application. Therefore, no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-0134.

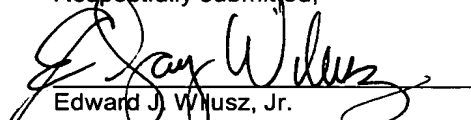
In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

The listed references were cited in the international stage search report. Since these references are of record in the instant PCT application PCT/EP03/11034, copies are not enclosed herewith.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Novartis
Corporate Intellectual Property
One Health Plaza, Building 104
East Hanover, NJ 07936-1080
(862) 778-7960
Date: April 7, 2005

Respectfully submitted,


Edward J. Wilusz, Jr.
Attorney for Applicants
Reg. No. 52,370

(Use several sheets if necessary)

Group

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AM	WO 00 76942	12/21/00	WIPO			<input type="checkbox"/>	<input type="checkbox"/>
	AN						<input type="checkbox"/>	<input type="checkbox"/>
	AO						<input type="checkbox"/>	<input type="checkbox"/>
	AP						<input type="checkbox"/>	<input type="checkbox"/>
	AQ						<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

AR	MOHAR, BARBARA ET AL: "Highly enantioselective synthesis via dynamic kinetic resolution under transfer hydrogenation using Ru(.eta.6- arene)-N-perfluorosulfonyl-1,2-diamine catalysts: a first insight into the relationship of the ligand's pKa and the catalyst activity" Chemical Communications (Cambridge, United Kingdom) (2001), (24), 2572-2573 , XP002270702
AS	TARAN F ET AL: "High-Throughput Screening Of Enantioselective Catalysts By Immunoassay" Angewandte Chemie. International Edition, Verlag Chemie. Weinheim, DE, vol. 41, no. 1, 4 January 2002 (2002-01-04), pages 124-127, XP001102322 ISSN: 0570-0833
AT	BENES J ET AL: "Anticonvulsant and Sodium Channel-Blocking Properties of Novel 10,11-Dihydro-5H-dibenz[ab,f]azepine-5-carb oxamide Derivatives" Journal Of Medicinal Chemistry, American Chemical Society. Washington, US, vol. 42, 1999, pages 2582-2587, XP002206156 ISSN: 0022-2623

EXAMINER**DATE CONSIDERED**

*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

(Use several sheets if necessary)

ATTY. IDENT. NO.
PN/4-32
APPLICATION NO.
Not Yet Assigned
APPLICANT
MATHES ET AL.
FILING DATE

10/530617

Group

EXAMINER
INITIAL

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

	DA	HAACK K J ET AL: "The catalyst precursor, catalyst and intermediate in the Rull-promoted asymmetric hydrogen transfer between alcohols and ketones "Angewandte Chemie. International Edition, Verlag Chemie. Weinheim, DE,vol. 36, no. 3, 1997, pages 285-288, XP002092423 ISSN: 0570-0833
	DB	LISGARTEN, JOHN N. ET AL: "The structure of 10,11-dihydro-10-hydroxy-5H-dibenz[<i>a,h</i>]azepine-5- carboxamide, an anticonvulsant drug molecule" Acta Crystallographica, Section C: Crystal Structure Communications (1989), C45(4), 656-8 , XP008027747
	DC	
	DD	
	DE	
	DF	
	DG	
	DH	
	DI	
	DJ	
	DK	
	DL	
	DM	
	DN	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.